

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Title:	Method and Device for
)	Performing Cooling-or
)	Cryo-Therapies for, e.g.,
John D. Dobak, III et al.)	Angioplasty with Reduced
)	Restenosis or Pulmonary
)	Vein Cell Necrosis to Inhibit Atrial Fibrillation
Serial No.: 10/608,978) Examiner:	Not Yet Known
)	
Filed: June 26, 2003) Group Art	3739
·) Unit:	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

1. <u>X</u> Preliminary Statements

2. <u>X</u> FORM PTO - 1449

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

(Date of Deposit)

(Name of the Person Making the Deposit)

(Signature)

(Date of Signature)

1. Preliminary Statements

Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. No fee is believed to be due in connection with this submission as this Information Disclosure Statement is being filed within three (3) months of the application filing date or before receipt of the First Office Action.

No fee is believed due for this submission, however, if any fees are required, authorization is hereby given to the Commissioner for Patents to charge the same to Deposit Account No. 50-0914.

Date: Oct. 25, 2004

Mark D. Wieczorek
Attorney for Applicants
Registration No. 37,966

Innercool Therapies, Inc. 3931 Sorrento Valley Blvd. San Diego, CA 92121 Phone: (858) 677-6390

Fax: (858) 677-6391

Approved for use through 10/31/99, OMB 0651-0031
Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449B/PT 10/608,978 **Application Number** INFORMATION BISCL June 26, 2003 Filing Date John D. Dobak, III STATEMENT BY ARP First Named Inventor **Group Art Unit** 3739 (use as many sheets as necessary) Not Yet Known **Examiner Name** 103002 Attorney Docket Number Sheet 1 of

	U.S. PATENT DOCUMENTS						
U.S. Patent Document		U.S. Patent Document					
Examiner Initials'	Cite No.	Number Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	2,043,083	Wappler	06/03/36			
	AB	5,300,085	Yock	01/19/99			
	AC	5,501,681	Neuwirth et al.	03/26/96			
	AD	5,779,698	Clayman et al.	07/14/98			
	AE	6,602,276	Dobak III et al.	08/05/03			
	AF	20020169489	Dobak III et al.	11/14/02			
	AG	20030014095	Kramer et al.	01/16/03			
	AH	20030109912	Joye et al.	06/12/03			
	Al	20030125721	Yon et al.	07/03/03			
	AJ	20040087934	Dobak III et al.	05/06/04			

	FOREIGN PATENT DOCUMENTS						
Ì		Foreign Patent Document					
Examiner Initials'	Cite No.1	Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°	
	AK	WO 02/069862	Innercool Therapies	09/12/02			
	AL	WO 02/083196	SciMed Life Systems	10/24/02			
	AM	WO 03/028524	Innercool Therapies	04/10/03			

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AN	Hederer, G., et al.; "Animal Experiment Observations Regarding Cardiac Surgery under Intravascular Hypothermia"; Labgebbecjs Arch. U. Dtsch. A. Chir., Bd. 283, S. 601-625 (1957) (German article with English translation)	
	AO	Behmann, F.W; "Heat Generation Control during Artificial Hypothermia: II. Theoretical Examinations"; Pflügers Archiv, Bd. 266, S. 422-446 (1958) (German article with English translation)	
	AP	Behmann, F.W., et al.; « Heat Generation Control during Artificial Hypothermia: I: Experimental Examination of the Influence of Anesthetic Depth"; Pflügers Archiv, Bd. 266, S. 408-421 (1958) (German article with English translation)	
	AQ	Behmann, F.W., et al.; Intravascular Cooling, a Method to Achieve Controllable Hypothermia; Pflűgers Archive, Vol. 263, pages 145-165 (1956) (German article with English translation)	
	AR	Jackson, Donald, et al; "Hypothermia: IV. Study of Hypothermia Induction Time with Various Pharmacological Agents (24617)"; Proc Soc Exp Biol Med.; 100(2): 332-335 (February 1959)	

Examiner	Date	
-	Considered	
Signature	 OUNDIGOTOG	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

12 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Approved for use through 10/31/99, OMB 0651-0031

Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known			
Substitute	101 101111 14490/1	10		Application Number	10/608,978
INFO	DRMATION	DISCLO	DSURE	Filing Date	June 26, 2003
STATEMENT BY APPLICANT			ICANT	First Named Inventor	John D. Dobak, III
OTATEMENT BY ALL EIGHT				Group Art Unit	3739
(use as many sheets as necessary)			essary)	Examiner Name	Not Yet Known
Sheet	2	of	2	Attorney Docket Number	103002

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	AS	Behmann, F.W.; "Heat Generation Control during Artificial Hypothermia, an article about the economic problem of trembling stages"; Pflügers Archive, Vol. 263, pages 166-187 (1956) (German article with English translation)	
	AT	Behmann, F.W.; "Regulation of heat production in experimental hypothermia of homothermal animals"; Naunyn Schmiedebergs Arch Exp Pathol Pharmakol; 228 (1-2): 126-128 (1956). (German article with English translation)	

Date Examiner Considered Signature

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

12Unique citation designation number. 2Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.